### PLAN 6070: Urban Theory and Public Policy

Fall 2014

Professor: D. Spain

Phone: 434.924.6430; e-mail:spain@virginia.edu

Office Hours: T/TH 2:30 – 4:30 PM, and by appointment

Office: Peyton House 101

# **Description**

 This course examines contemporary metropolitan form, theories about how it has evolved, and policies intended to shape the built environment. Although the majority of the course deals with the post-World War II United States, examples from other countries are introduced when they illustrate urban theory or policy. The goals are to prepare planners to assess how ideas influence actions, and to provide examples of policies implemented at different scales. By the end of the semester, students should be able to:

 1. understand the relationship between theory and policy

 2. explain the interconnections between federal, regional, state, and local urban policies

 3. analyze the power of, and limitations to, public policy’s influence on metropolitan form in the context of the private market and individual agency.

 4. write a concise 500-word essay

 Beginning with the readings required for September 4, students should post at least one question or comment about the readings for each class on Collab, under the Forum section, the day (note: day, NOT night) before class. These can take the form of requests for clarification, connections with materials from other classes, or your opinion of the reading. We will use these questions to facilitate discussion.

The course is organized to cover two components of the curriculum required of all planning programs accredited by the national Planning Accreditation Board. The first component is *Structure and Functions of Urban Settlements*, which requires knowledge of "the city and its regional context --- its geography, changing forms, and political, economic, and social structure, including multicultural and gender dimensions". The second component, *Administrative, Legal, and Political Aspects of Plan-Making and Policy Implementation*, requires knowledge of "the contexts in which planning takes place, focusing particularly on enabling legislation… and the processes by which plans are made and implemented". Theory and policy are combined in one course to illustrate the fluid relationship between the two.

PLAN 6070 differs from *planning* theory (PLAN 6090) in its multidisciplinary focus on the structure and functions of the city rather than on the process and history of the planning profession.

 Books listed below are available at the bookstore and are on reserve in the Fine Arts Library; required articles and chapters are on COLLAB. Please allow sufficient time to download these articles. A-School printers are in heavy demand.

 Three optional resources are available for you on Collab. One is a glossary of planning terms if you are new to the discipline (6070.GLOSSARY). Another is William Zinsser’s essay on “Writing English as a second language (6070.Zinsser), and the last is George Orwell’s essay “Politics and the English language” (6070.Orwell) for those of you interested in improving your writing.

# **Requirements**

**Your final grade will be based on four brief (500-word) essays, a class presentation, and one report on a public planning meeting**. The first practice essay will *not* count toward your final grade unless you request it. Regular contributions to class discussions are expected.

Attendance at one or more public planning meetings is required. The purpose of the assignment is for you to observe how local planning policies are created. You will be expected to incorporate materials from class into your report, so choose a meeting in October or November. We will discuss the meetings in class, as time allows.

*Meeting Reports*: Summarize in about 500 words the major issues raised at the meeting and how/whether they were resolved. What policies were discussed? Did urban theories influence discussion? What was the demographic composition of “the public?” **Reports are due within one week of the meeting.**

You may choose from any of the three planning organizations listed below. Check each website for the meeting dates.

 Thomas Jefferson Planning District Commission [www.tjpdc.org](http://www.tjpdc.org)

 Albemarle County Planning Commission [www.albemarle.org](http://www.albemarle.org)

 Charlottesville City Planning Commission [www.charlottesville.org](http://www.charlottesville.org)

 *Presentation (Choose one of the following options):*

 Present a summary of a chapter in Biles that is *not* required in class (i.e. 5-11); OR

 Present a summary of theories and/or policies in a city in the Global South that we have *not* covered in class; you might consult a special issue on “Urban issues in Asia and the Pacific Rim” of the *Journal of Urban Affairs* (36, S1, 2014). *The Economist* (April 19, 2014) has a special issue on China. These publications are available online through UVa, but are not listed on Collab.

**Please let me know at least a week in advance when you would like to make your presentation.**

 The class presentation should take between 5 and 10 minutes and may include visuals; I will want a copy of your presentation (PowerPoint, outline, or brief summary are all acceptable). You will receive either an A or B for this exercise.

Topics and due dates for the assignments are listed below.

 Assignment % of Grade Due Date

 Practice essay 0 Sept.2

 Essay # 1 15 Sept. 18

 Essay # 2 15 Oct. 16 Essay # 3 15 Nov. 11

 Presentation 15 variable

 Final essay 20 Dec.10

 Meeting report 20 variable

 TOTAL 100%

*Essays.* Essays are due, in hard copy, in class on the date listed in the syllabus. They should be approximately 500-words, double-spaced. At the end of the essay, include a word count and an estimate of how long it took to complete (this includes reviewing notes as well as writing and editing). You should expect to edit at least twice before turning in the final version.

Components of a successful essay (also see Writing Tips on Collab):

 A title that reflects the theme of the essay

 A sentence explaining the purpose of the essay

 Examples of your general statements

 Relationship to previous material (in this or other courses)

 Citations of work consulted (follow the format of this syllabus)

Practice Essay: If Alex Marshall and Chris Edwards were in a debate about the proper role of government in shaping cities, what evidence would each use to make his point? **DUE SEPT 2 [Graded, not recorded]**

Essay # 1:How did the local implementation of post-World War II federal policies reflect regime theory? **DUE SEPT 18**

Essay # 2: Using one of the cities in the Katz and Bradley book as a case study, explain the interconnections between federal, regional, state, and local urban policies.

**DUE OCT 16**

Essay # 3: How are theories of gentrification and creative cities related, and what issues do they raise for social justice policies? **DUE NOV 11**

Final essay: Select one combination of theory and policy and explain how they could be useful for planning practitioners. **DUE DEC 10 BY NOON**

# **Books (all required)**

 Biles, Roger, *The Fate of Cities: Urban America and the Federal Government, 1945-2000* (Kansas City, KN: University of Kansas Press, 2011).

 Katz, Bruce and Jennifer Bradley, *The Metropolitan Revolution: How Cities and Metros are Fixing our Broken Politics and Fragile Economy* (Washington, DC: Brookings, 2013).

 Macris, N. n.d. *Planning in Plain English*. Chicago: American Planning Association Press.

**Articles, Book Chapters, Reports (all required)**

 ***Reading tip: articles are assigned for their content, not their methods. If you have difficulty following equations, etc, skip the methods part.***

 American Dream Coalition, a. “Why we defend the American Dream,” <http://americandreamcoalition.org/adc/why.html>.

 American Dream Coalition, b. “Smart growth planning disasters,” http://americandreamcoalition.org/disasters/disasters.html.

 Anderson, Martin, 1964, “Introduction: Renewal by government decree”, in

 *The Federal Bulldozer: A Critical Analysis of Urban Renewal, 1949-1962* (Cambridge, MA: MIT Press, 1964).

 Bai, Xuemei et al, 2010. “Urban policy and governance in a global environment: Complex systems, scale mismatches, and public participation,” *Current Opinion in Environmental Sustainability* 2: 129-135.

 Boarnet, Marlon & Randall Crane, *Travel by Design: The Influence of Urban Form on Trave*l, Chapter 3 (New York: Oxford, 2001):61-80.

 Burns, Peter and Matthew Thomas. 2006. “The Failure of the Nonregime: How Katrina Exposed New Orleans as a Regimeless City” *Urban Affairs Review* 41: 517-527.

 Burge, Gregory S. et al., 2013, “Can development impact fees help mitigate urban sprawl?” *J American Planning Assoc* 79: 235-248.

 Charter of the New Urbanism .www.cnu.org.

 Colomb, Claire. 2012. “Pushing the urban frontier: temporary uses of space, city marketing, and the creative city discourse in 2000s Berlin” *J Urban Affairs* 34, 2: 131-52.

 Deitrick, Sabina & Cliff Ellis, 2004, “New Urbanism in the Inner City,” *J Amer Plan Assoc* 70: 426-442.

Doan Petra & H. Higgins. 2011. “The demise of queer space? Resurgent gentrification and the assimilation of LGBT neighborhoods.” *J. Plan. Educ. Res.* 31(1):6–25.

 Downs, Anthony. 2005. “Smart Growth: why we discuss it more than we do it.” *Journal of the American Planning Association* 71,4: 367-380.

 Edwards, Chris, “Infrastructure investment: A state, local, and private responsibility,” *Tax and Budget Bulletin* 67 (2013) (Washington, DC: Cato Institute).

 Fainstein, Susan, 2005. “Cities and diversity: Should we want it? Can we plan for it?” *Urban Affairs Review* 41: 3-19.

 Florida, Richard, 2003. “Cities and the Creative Class” *City and Community* 2, 1:3-19.

 Garde, Ajay. 2008. “Innovations in urban design and urban form” *J Plan Ed & Research* 28: 61-72.

 Goetz, Edward. 2011. “Where have all the towers gone? The dismantling of public housing in US cities” *J Urban Affairs* 33, 3:267-87.

 Goldstein, Amy. 2006. “Since Start, 33 Reports Flagged Big Dig’s Flaws” *The Washington Post.* July 23:A3.

 Gross, Jill, L. Ye, and R LeGates, 2014, “Asia and the Pacific Rim: The new peri-urbanization and urban theory,” *J Urban Affairs* 36, S1: 309-314.

 Grunwald, Michael. 2006. “Dig the Big Dig” *Washington Post*. August 6:B2.

 Hanlon, James, 2010. “Success by design: HOPEVI, new urbanism, and the neoliberal transformation of public housing in the United States,” *Environment and Planning A* 42: 80-98.

 Hanlon, Bernadette, Marie Howland, & Michael McGuire. 2012. “Hotspots for growth: does Maryland’s Priority Funding Area program reduce sprawl?” *J Amer Plan Assoc* 78, 3: 256-268.

 Hayden, Dolores. 1981. “What would a non-sexist city be like? Speculations on housing, urban design, and human work,” in *Women and the American City*, ed. Stimpson et al (Chicago: University of Chicago Press).

 Lauria, Mickey & Larry Knopp. 1985. “Toward an analysis of the role of gay communities in the urban renaissance” *Urban Geography* 6: 152-69.

 Markovich, Julia and Sue Hendler, 2006, “Beyond ‘Soccer Moms’: Feminist and New Urbanist critical approaches to the suburbs,” *J. Plan Ed and Research* 22: 410-427.

 Marshall, Alex. 2000. “The Master Hand: The Role of Government in Building Cities” pp 133-155 in *How Cities Work: Suburbs, Sprawl, and the Roads Not Taken.* (Austin: University of Texas Press).

 Molotch, Harvey, 1976, “The city as a growth machine: Toward a political economy of place,” *Amer J. Sociology* 82: 309-332.

 Mondschein, Andrew, Evelyn Blumenberg, and Brian Taylor, “Going mental: Everyday travel and the cognitive map,” *Access* 43 (2013): 2-7.

 powell, john. 2002. “Sprawl, fragmentation, and the persistence of racial inequality: Limiting civil rights by fragmenting space” Chapter 4 in Gregory Squires (ed) *Urban Sprawl: Causes, Consequences, and Policy Responses* (Washington DC: Urban Institute Press).

 Propheter, Geoffrey. 2012. “Are basketball arenas catalysts of economic development?” *J Urban Affairs* 34, 4: 441-59.

 Ryberg-Webster, Stephanie, 2013, “Preserving downtown America,” *J. Amer Plan Assoc* 79: 266-279.

 Sassen, Saskia, 2000, “The global city: Strategic site, new frontier,” *American Studies* 41: 79-95.

 Shatkin, Gavin, 2006, “Global cities of the South: Emerging perspectives on growth and inequality*,” Cities* 24: 1-15.

 Siemiatycki, Matti, 2010, “Delivering transportation infrastructure through Public Private Partnerships*,” J Amer Plan Assoc* 76: 43-58.

 Smith, Neil, 1979, “Toward a theory of gentrification: A back to the city movement of capital, not people,” *J. Amer Plan Assoc* 45: 538-548.

 Spain, Daphne. Forthcoming. “A global perspective on gendered cities” in Harry Mallgrave and Timothy Beatley (Eds) *The Companion to Urban Architecture* (New York: Wiley-Blackwell).

 Steinbrink, Malte, C. Haferburg, & A Ley, 2011, “Festivalization and urban renewal in the Global South: Socio-spatial consequences of the 2010 FIFA World Cup,” *South African Geographical Journal* 93: 15-28.

 Stone, Clarence, “Urban regimes and the capacity to govern: A political economy approach,” *Journal of Urban Affairs* 15 (1993): 1-28.

Strom, Elizabeth, 2010. “Artist Garrett as Growth Machine” Local Policy and Artist Housing in U.S. Cities” *J. Plan Ed & Research* 29, 3: 367-378.

 Ye, Yumin, R. LeGates, & B Qin, 2013, “Coordinated urban-rural development planning in China,” *J. Amer Plan Assoc* 79: 125-137.

**Documentaries (optional):**

**1. Store wars [videorecording] : when Wal-Mart comes to town**
    Peled, Micha X.

|  |  |
| --- | --- |
| **Publisher:** | Bullfrog Films, |
| **Pub date:** | c2001. |
| **Pages:** | 1 videocassette (60 min.) : |
| **ISBN:** | 1560299037 |
| **Copy info:** | 1 copy available at Robertson Media Center.1 copy total in all locations.   |
| **Robertson Media Center**  | **Copies**  | **Material**  | **Location**  |
| VIDEO .VHS12332  | 1  | VIDEO-CASS  | VAULT  |

OR online at: <http://www.pbs.org/itvs/storewars/>

**2. Holding ground [videorecording] : the rebirth of Dudley Street**
    Mahan, Leah.

|  |  |
| --- | --- |
| **Publisher:** | New Day Films, |
| **Pub date:** | c1996. |
| **Pages:** | 1 videocassette (58 min.) : |
| **Copy info:** | 2 copies or volumes available at Robertson Media Center.2 copies total in all locations.   |

**Holdings**

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| **Robertson Media Center**  | **Copies**  | **Material**  | **Location**  |
| VIDEO .VHS9329  | 1  | VIDEO-CASS  | VAULT  |
| VIDEO .VHS9329 guide  | 1  | VIDEO-CASS  | VAULT  |

**3. Race [videorecording] : the power of an illusion**
    Pounder, C. C. H.

|  |  |
| --- | --- |
| **Publisher:** | California Newsreel, |
| **Pub date:** | c2003. |
| **Pages:** | 3 videocassettes (174 min.) : |
| **Copy info:** | 6 copies or volumes available at Robertson Media Center.6 copies total in all locations.   |

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| **Robertson Media Center**  | **Copies**  | **Material**  | **Location**  |
| VIDEO .VHS12940 pt.1  | 2  | VIDEO-CASS  | VAULT  |
| VIDEO .VHS12940 pt.2  | 2  | VIDEO-CASS  | VAULT  |
| VIDEO .VHS12940 pt.3  | 2  | VIDEO-CASS  | VAULT  |

4. **Brooklyn matters [videorecording] : a film**

|  |  |
| --- | --- |
| **Title:**  | Brooklyn matters [videorecording] : a film / by Isabel Hill  |
| **Publication info:**  | Harriman, NY : New Day Films, c2007.  |
| **Description:**  | 1 videodisc (50 min.) : sd., col. ; 4 3/4 in.  |
| **Note:**  | Videodisc release of a 2007 motion picture production.  |
| **Credits:**  | Marion Sears Hunter, editor ; Chuck Clifton, director of photography.  |
| **Summary:**  | "Exposes how, in one community, powerful real estate interests and politicians collaborate to circumvent local laws, seize private property through eminent domain, manipulate public participation and racial politics to push forward what could become the densest development in the United States." -- Container.  |

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| --- | --- | --- | --- | --- |
| **Library**  | **Call Number**  | **Copies**  | **Material**  | **Location**  |
| Robertson Media Center  | VIDEO .DVD08052  | 1  | VIDEO-DVD  | RMC reserves  |

**On-line sources about planning:**

 [www.planetizen.com](http://www.planetizen.com)

 [www.smartgrowthamerica.org](http://www.smartgrowthamerica.org)

 [www.planning.org](http://www.planning.org)

 [www.brookings.edu](http://www.brookings.edu)

**The University of Virginia Honor Code:**

The goal of the University of Virginia Honor Code is to build a community of trust across the University community. It prohibits lying, cheating, or stealing. The Honor Code is enforced by the Student Honor Committee which makes determinations of guilt or innocence based on three criteria, 1) whether the alleged act occurred, 2) its intentionality, and 3) its non-triviality. Students found to have violated the Honor Code are expelled from the University of Virginia following one single violation.

If you have questions about the University of Virginia Honor Code please contact the School's representatives or call the Honor offices at (434) 924-7602. In addition, you may find more information at <http://www.virginia.edu/honor/>. If you have questions about special cases in the context of the School of Architecture’s curriculum, contact your academic advisor.

PLAN 6070

Urban Theory and Public Policy

Fall 2014

Tentative Schedule

Date Topic Assignment

Aug. 26 Introduction; AICP Code of Ethics

 28 Government’s role in city building Marshall; Goldstein;

 Grunwald; Edwards;

 American Dream Coalition, a

**Sept. 2**  Planning policy in the news Macris, all

 **Practice Essay due**

**The Political Economy**

Sept. 4 Regime theory Stone; Burns & Thomas

9 The growth machine Molotch; Downs;

 American Dream Coalition, b

Sept. 11 Post-WWII federal growth policies Biles, Intro-Ch.2;

 Anderson

 16 Federal policies, cont. Biles, chs 3 & 4

 **18** State & regional policies in the U.S. Burge et al; Hanlon **et al.**

 **Essay # 1 due**

 23 Transportation policy Boarnet & Crane; Mondschein;

 Siemiatycki

 25 Library skills workshop

30 Peri-urbanization & global regional Gross et al; Ye et al.

policies

Oct. 2 Local growth policies Katz & Bradley, chs. 1-6

**Reinvestment and Redevelopment**

 7 A theory of gentrification Smith

 9 The LGBT community and gentrification Lauria & Knopp;

 Doan & Higgins

14 Reading Break – no class

**16**  Social justice & diversity Fainstein; Goetz

 **Essay # 2 due**

21 Gentrification, sprawl, and civil rights powell

 23 Urban inequalities in the Global South Shatkin

 28 Federal preservation policies Ryberg-Webster

30 Local economic policies in the U.S. Katz & Bradley, ch. 6;

 Propheter

Nov. 4 Local policies in the Global South Steinbrink et al.

 6 Creative cities: theory & policies Florida; Colomb;

 Strom

**Design Theories**

 **11** New Urbanism (NU) CNU Charter; Garde

 **Essay # 3 due**

 13 New Urbanism & federal policy Deitrick & Ellis; **J.** Hanlon

**Feminist Theories**

 18 The non-sexist city Hayden

 20 Feminist policies Markovich & Hendler;

 Spain

**Global Cities**

25 Global theories Sassen

 27 Thanksgiving, no class

Dec. 2 Global policies Bai et al;

 Katz & Bradley, chs 7-9

 4 Course overview/summary

**Dec. 10**  **Final essay due by noon**